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# Compilation Principle 编译原理

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## 第16讲：语义分析(4)

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[xianweiz.github.io](https://xianweiz.github.io)

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# Review Questions

- SDD vs. SDT

SDD = Syntax Directed Definitions, SDT = SD Translation Schemes

- What are S-SDD and L-SDD?

S-SDD: synthesized-SDD (only syn attributes),

L-SDD: left-attributed SDD (only left-to-right dependency).

- Why S-SDD is natural to be implemented in LR parsing?

Syn attributes: evaluate parent after seeing all children (=reduce).

- Why L-SDD is not natural for LR parsing?

Semantic actions can be in anywhere of the production body.

- At high level, why L-SDD can be implemented in LR?

Left-attributed, the needed attribute values must be in the stack.

- Roughly, how do we modify L-SDD for LR parsing?

Add non-terminal markers to make all actions at production end.

# Overview of Symbol Table[符号表]

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- **Symbol table** records info of each symbol name in a program[符号表记录每个符号的信息]
  - symbol = name = identifier
- Symbol table is created in the **semantic analysis** phase[语义分析阶段创建]
  - Because it is not until the semantic analysis phase that enough info is known about a name to describe it
- But, many compilers set up a table at **lexical analysis** time for the various variables in the program[词法分析阶段准备]
  - And fill in info about the symbol later during semantic analysis when more information about the variable is known
- Symbol table is used in **code generation** to output assembler directives of the appropriate size and type[后续代码生成阶段使用]

# Binding[绑定]

---

- **Binding**: match identifier **use** with **definition**[使用-定义]
  - Definition: associating an *id* with a memory location
  - Hence, binding associates an *id* use with a location
  - Binding is an essential step before machine code generation
- If there are multiple definitions, which one to use?

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    ...
    {
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        ...
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```

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```

# Scope[作用域]

---

- **Scope:** program region where a definition can be bound
  - Uses of identifier in the scope is bound to that definition
  - For C: auto/local, static, global
- Some properties of scopes
  - Use not in scope of any definition results in undefined error
  - Scopes for the same identifier can never overlap
    - There is at most one binding at any given time
- Two types: static scoping and dynamic scoping
  - Depending on how scopes are formed

# Static Scoping[静态作用域]

- Scopes formed by where definitions are in program text[声明起作用的那段区域]
  - Also known as **lexical scoping** since related to program text  
C/C++, Java, Python, JavaScript[也叫词法作用域]
- Rule: bind to the closest enclosing definition

```
void foo()
{
    char x;
    ...
    {
        int x;
        ...
    }
    x = x + 1;
}
```

# Dynamic Scoping[动态作用域]

- Scopes formed by when definitions happen during runtime[运行时决定]
  - Perl, Bash, LISP, Scheme
- Rule: bind to most recent definition in current execution

```
void foo()  
{  
  (1) char x;  
  (2) if (...) {  
    (3)  int x;  
    (4)  ...  
  }  
  (5) x = x + 1;  
}
```

- Which x's definition is the most recent?
  - Execution (a): ...**(1)**...(2)...(5)
  - Execution (b): ...(1)...(2)...**(3)**...(4)...(5)

# Static vs. Dynamic Scoping[对比]

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- Most languages that started with dynamic scoping (LISP, Scheme, Perl) added static scoping afterwards
- Why? With **dynamic scoping** ...
  - All bindings are done at execution time
  - Hard to figure out by eyeballing, for both compiler and human
- Pros of **static scoping**[静态的好处]
  - Static scoping leads to fewer programmer errors
    - Bindings readily apparent from lexical structure of code
  - Static scoping leads to more efficient code
    - Compiler can determine bindings at compile time
    - Compiler can translate identifier directly to memory location
    - Results in generation of efficient code
- We will discuss static scoping only

# What is Symbol Table[符号表]

---

- **Symbol**: same thing as **identifier** (used interchangeably)
- **Symbol table**: a compiler data structure that tracks info about all program symbols
  - Each entry represents a definition of that identifier
  - Maintains list of definitions that reach current program point
  - List updated whenever scopes are entered or exited
  - Used to perform binding of identifier uses at current point
  - Built by either...
    - Traversing the parse tree in a separate pass after parsing
    - Using semantic actions as an integral part of parsing pass
- Usually discarded after generating executable binary
  - Machine code instructions no longer contain symbols
  - For use in debuggers, symbol tables may be included
    - To display symbol names instead of addresses in debuggers
    - For GCC, using ‘gcc -g ...’ includes debug symbol tables

# Maintaining Symbol Table[维护]

---

- Basic idea

```
int x=0; ... void foo() { int x=0; ... x=x+1; } ... x=x+1 ...
```

- Start processing *foo*:

- Add definition of *x*, overriding old definition of *x* if any

- After processing *foo*:

- Remove definition of *x*, restoring old definition of *x* if any

- Operations

- `enter_scope()`      start a new scope

- `exit_scope()`      exit current scope

- `find_symbol(x)`      find the information about *x*

- `add_symbol(x)`      add a symbol *x* to the symbol table

- `check_symbol(x)`    true if *x* is defined in current scope

# Symbol Table Structure[结构]

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- Frontend time affected by symbol table access time[符号表访问时间影响编译前端性能]
  - Frontend: lexical, syntax, semantic analyses
  - Frequent searches on any large data structure is expensive
  - Symbol table design is important for compiler performance
  
- What data structure to choose?[可选数据结构]
  - **List**[线性表]
  - **Binary tree**[二叉树]
  - **Hash table**[哈希表]
  
- Tradeoffs: time vs. space[空间和时间的权衡]
  - Let us first consider the organization w/o scope

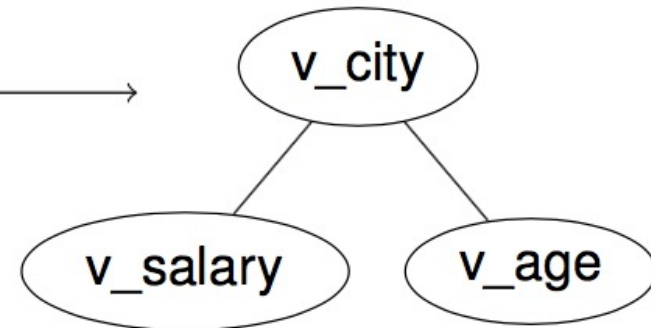
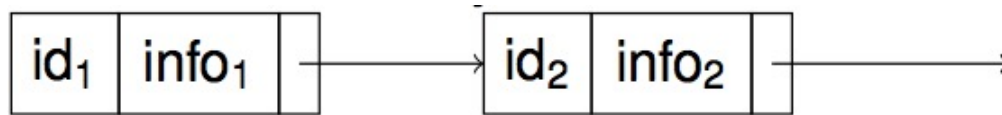


# Symbol Table Structure (cont.)

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  - Optimization: move recently used identifier to the head
  - Frequently used identifiers are found more quickly
- **Binary tree:** use more space than array/list
  - But insert/delete/search is  $O(\log n)$  on balanced tree
  - In the worst case, tree may reduce to linked list
    - Then insert/delete/search becomes  $O(n)$

id <sub>1</sub>	info <sub>1</sub>
id <sub>2</sub>	info <sub>2</sub>
...	...

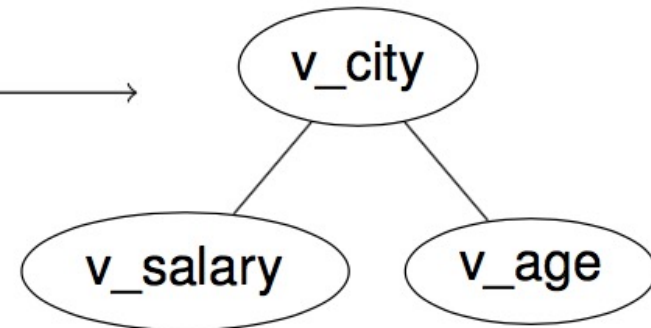
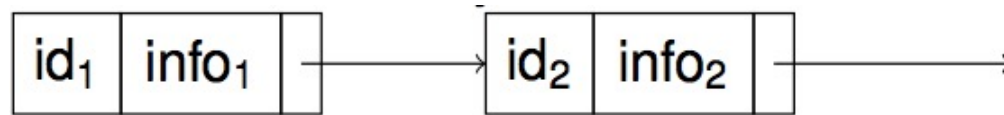


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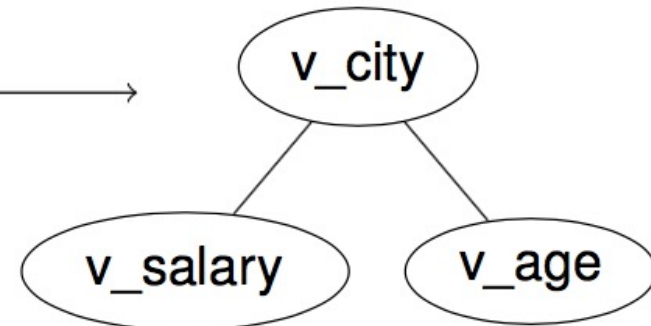
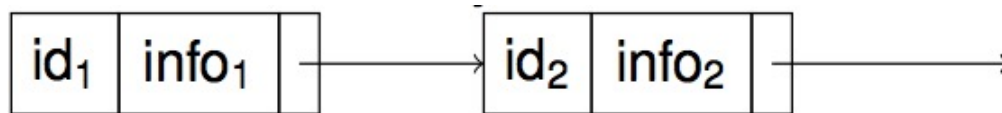


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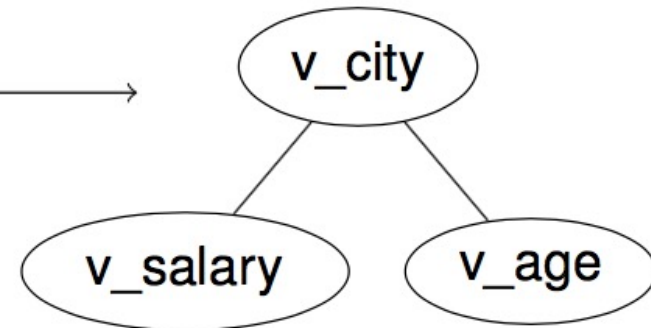
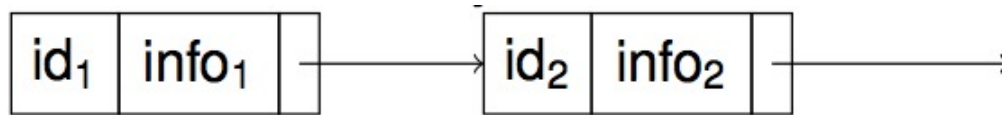
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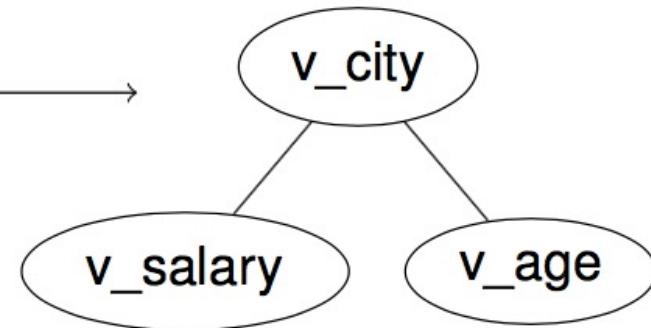
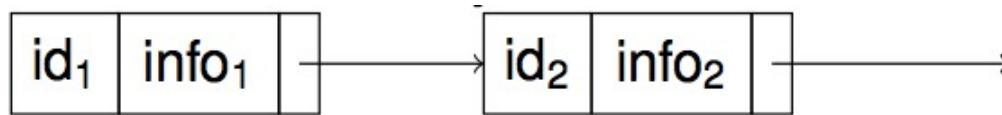
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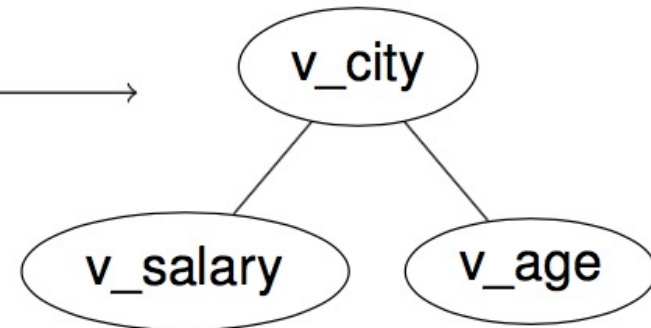
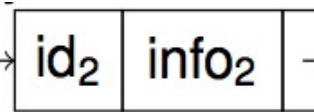
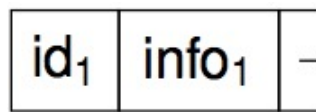
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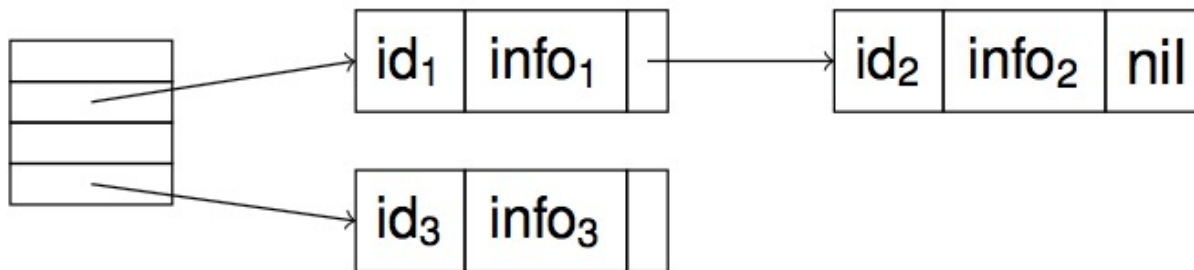
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# Symbol Table Structure (cont.)

- $hash(id\_name) \rightarrow index$ [哈希表]
  - A hash function decides mapping from identifier to index
  - Conflicts resolved by chaining multiple IDs to same index
- Memory consumption from hash table ( $N \ll M$ )
  - M: the size of hash table
  - N: the number of stored identifiers
- But insert/delete/search in  $O(1)$  time
  - Can become  $O(n)$  with frequent conflicts and long chains
- Most compilers choose hash table for its quick access time



# Adding Scope to Symbol Table[作用域]

---

- To handle multiple scopes in a program,[处理多个作用域]
  - Conceptually, need an individual table for each scope
    - In order to be able to enter and exit scopes
- Sometimes symbols in scope can be discarded on exit:

```
if (...) { int v; } /* block scope */  
/* v is no longer valid */
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- Sometimes not:



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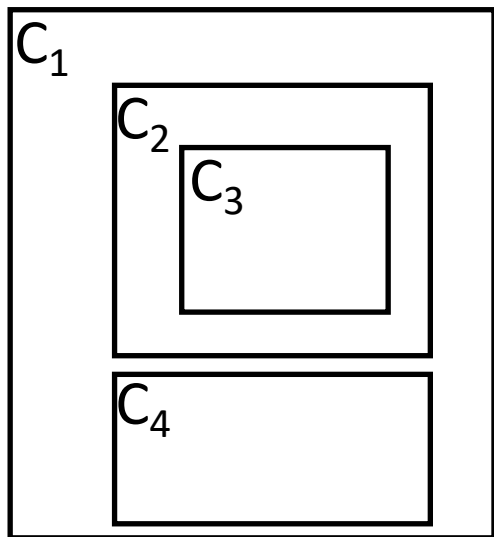
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- How can scoping be enforced without discarding symbols?
  - Keep a *stack* of active scopes at a given point
  - Keep a *list* of all reachable scopes in the entire program

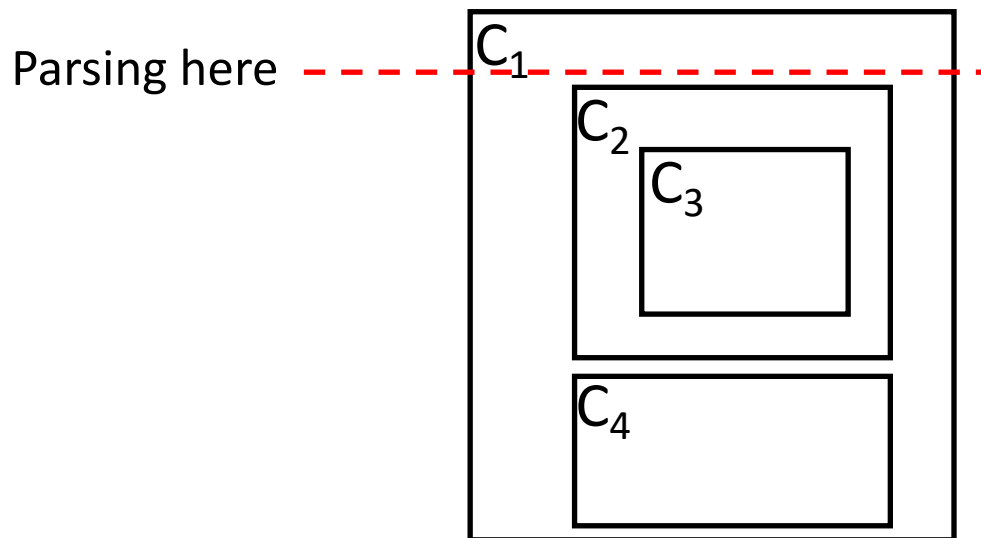
# Handle Scopes with Stack

- Organize all symbol tables into a scope stack[作用域栈]
  - An individual symbol table for each scope
    - Scope is defined by nested lexical structure, e.g.,  $\{C_1 \{C_2 \{C_3}\} \{C_4}\}$
  - Stack holds one entry for each open scope
    - Innermost scope is stored at the top of the stack
- Stack push/pop happen when entering/exiting a scope



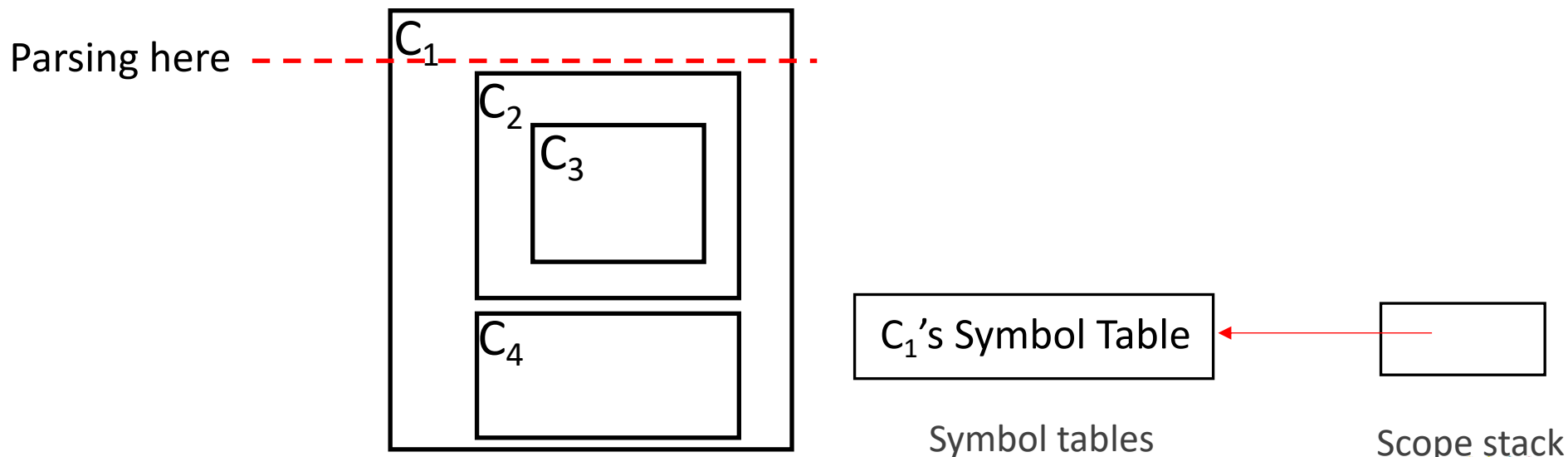
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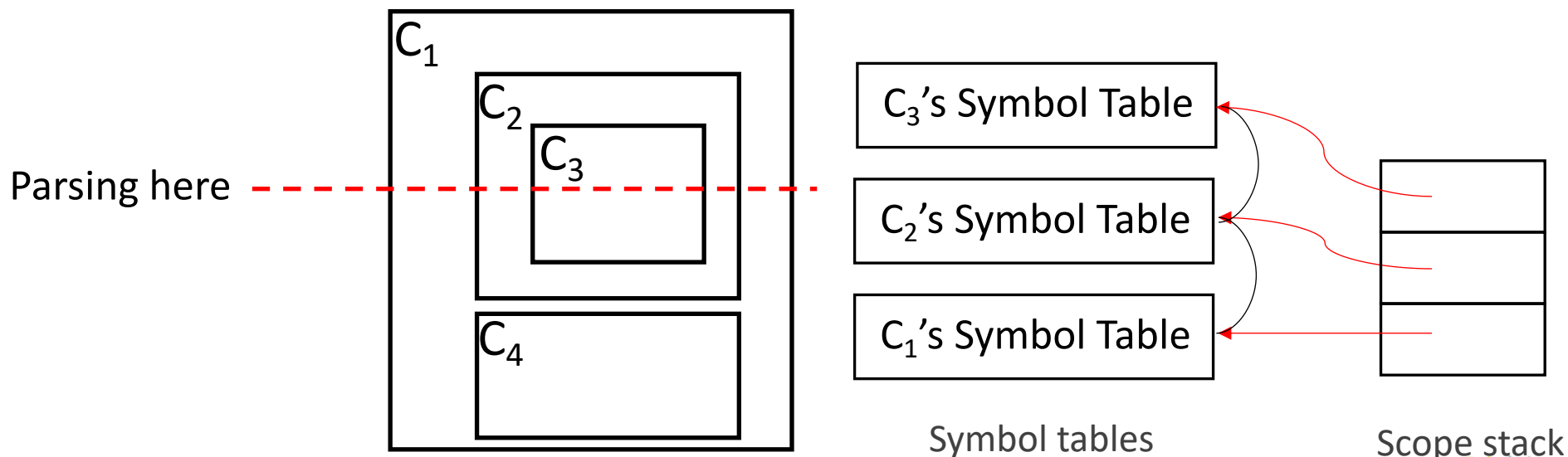
- Operations

- When entering a scope

- Create a new symbol table to hold all variables declared in that scope
- Push a pointer to the symbol table on the stack

- Pop the pointer to the symbol table when exiting scope

- Search from the top of the stack



# Handle Scopes with Stack (cont.)

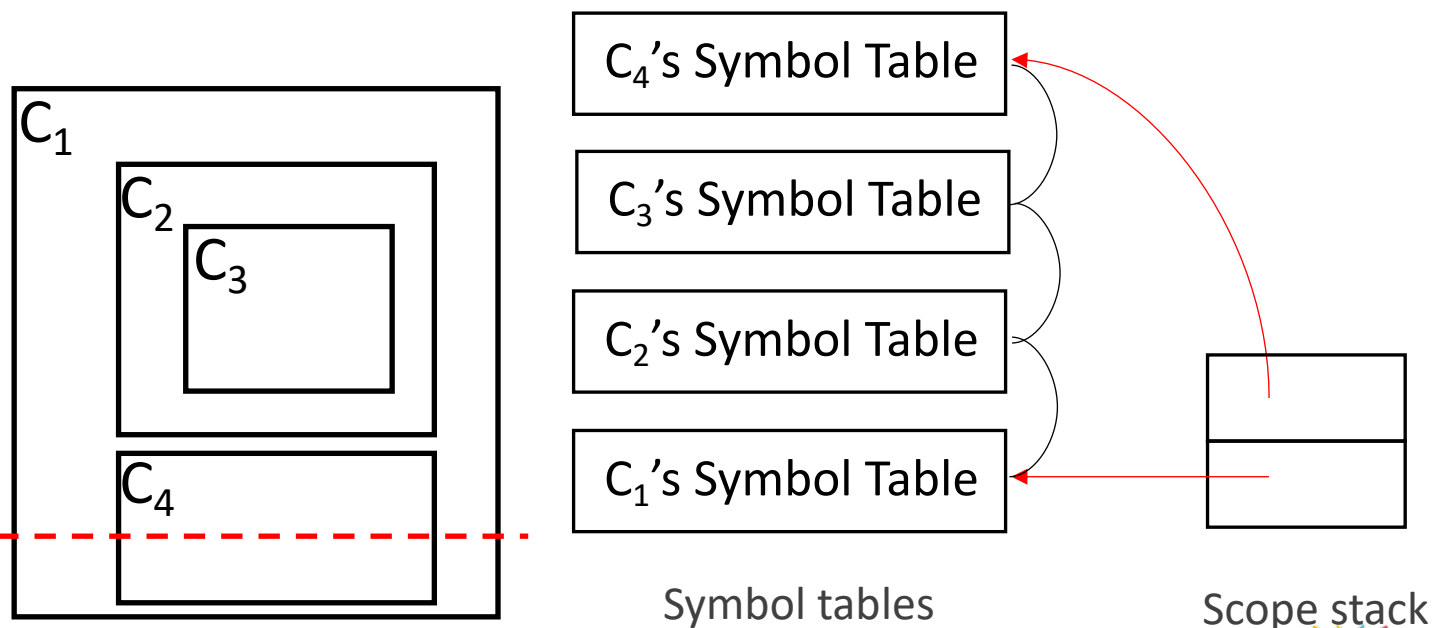
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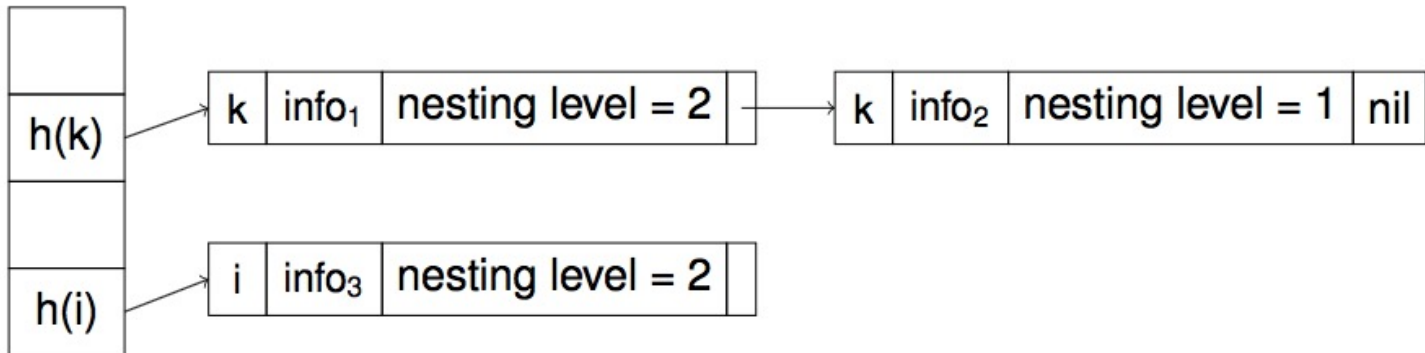
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- Search from the top of the stack



# Handle Scopes using Chaining

- Cons of stacking symbol tables[栈方式的缺点]
  - Inefficient searching due to multiple hash table lookups
    - All global variables will be at the bottom of the stack
  - Inefficient use of memory due to multiple hash tables
    - Must size hash tables for max anticipated size of scope
- Solution: single symbol table for all scopes using chaining
  - Insert: insert (*ID, current nesting level*) at front of chain
  - Search: fetch ID at the *front* of chain
  - Delete: when exiting level *k*, remove all symbols with level *k*
    - For efficient deletion, IDs for each level maintained in a list





# Handle Scopes using Chaining (cont.)

---

- Note: symbol table only maintains currently active scopes
  - All entries with the closing scope are deleted upon exiting
- Note: does not maintain list of all reachable scopes
  - Cannot refer back to old scopes that have been exited
  - Still useful for block scopes that are discarded on exit
- Usages
  - Unsuitable for class scopes (only block scopes)[X]
  - Exiting scopes is slightly more expensive[X]
    - Requires traversing the entire symbol table
  - Lookup requires only a single hash table access[✓]
  - Savings in memory due to single large hash table[✓]

# Info Stored in Symbol Table

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- Entry in symbol table
  - **String**: the name of identifier
  - **Kind**: function, variable, struct type, class type

string	kind	attributes
--------	------	------------

- Attributes vary with the kind of symbols
  - variable: type, address of variable
  - function: prototype, address of function body
  - struct type: field names, field types
  - class type: symbol table for class

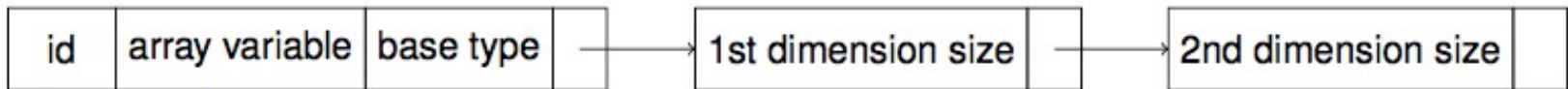
# Attribute List in Symbol Table

- Type info can be arbitrarily complicated
  - Type can be an array with multiple dimensions

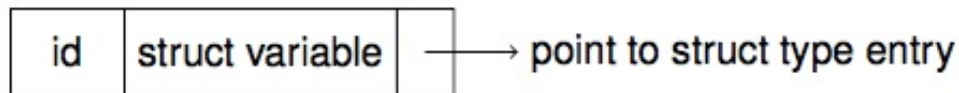
```
char arr[20][20];
```

```
struct Point {  
    float x;  
    float y;  
} point;
```

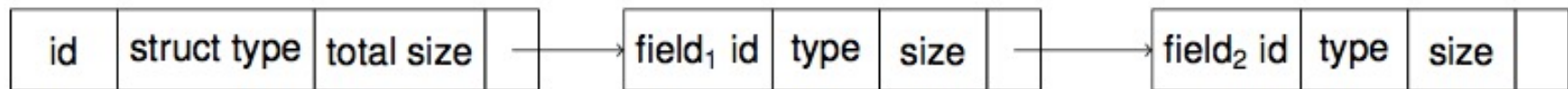
- Store all type info in an attribute list
  - Entry for an array variable with 2 dimensions



- Entry for a struct variable



- Entry for a struct type with 2 fields



# Use Type Information[类型信息]

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- Each variable or function entry contains type info
- Type info is used in later **code generation** stage[代码生成]
  - To calculate how much memory to alloc for a variable
  - To translate uses of variables to machine instructions
    - Should a '+' on variable be an integer or a floating point add?
    - Should a variable assignment be a 4 byte or 8 byte copy?
  - To translate calls to functions to machine instructions
    - What are the types of arguments passed to the function?
    - What is the type of value returned by the function?
- Also used in later **code optimization** stage[代码优化]
  - To help compiler understand semantics of program
- Also used in **semantic analysis** stage for **Type Checking**
  - Uses types to check semantic correctness of program

# Type and Type Checking

---

- **Type**: a set of values + a set of operations on these values
  - int/double: same memory storage
- **Type checking**: verifying type consistency across program [类型一致性检查]
  - A program is said to be type consistent if all operators are consistent with the operand value types
  - Much of what we do in semantic analysis is type checking
- Some type checking examples:
  - Given `char *str = "Hello";`
    - `str[2]` is consistent: `char*` type allows `[]` operator
    - `str/2` is not: `char*` type does not allow `/` operator
  - Given `int pi = 3;`
    - `pi/2` is consistent: `int` type allows `/` operator
    - `pi=3.14` is not: `=` operator not allowed on different types
      - Compiler must type convert implicitly to make it consistent

# Static Type Checking[静态类型检查]

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- Static type checking: at compile time[静态: 编译时]
  - Infers program is type consistent through code analysis
    - Collect info via declarations and store in symbol table
    - Check the types involved in each operation
  - E.g., `int a, b, c; a = b + c;` can be proven type consistent because the addition of two *ints* is an *int*
- Difficult for a language to only do static type checking
  - Some type errors usually cannot be detected at compile time
    - E.g., `a` and `b` are of type *int*, `a * b` may not in the valid range of *int*
    - Typecasting can be pretty risky thing to do (Basically, typecast suspends type checking)
      - `unsigned a; (int)a;`

# Dynamic Type Checking[动态检查]

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- Dynamic type checking: at execution time[动态： 执行时]
  - Type consistency by checking types of runtime values
  - Include type info for each data location at runtime
    - E.g., a variable of type double would contain both the actual double value and some kind of tag indicating “double type”
    - The execution of any operation begins by first checking these type tags
    - The operation is performed only if everything checks out (otherwise, a type error occurs and usually halts execution)
  - E.g., C++/Java downcasting to a subclass
    - Is `dynamic_cast<Child*>(parent);` type consistent?
  - Array bounds check:
    - Is `int A[10], i; ... A[i] = i;` type consistent?
- Static type checking is always more desirable. Why?
  - Always good to catch more errors before runtime
  - Dynamic type checking carries runtime overhead

# Static vs. Dynamic Typing[静态-动态]

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- Static typing: C/C++, Java, ...
  - Variables have static types → hold only one type of value
    - E.g. `int x;` → x can only hold ints
    - E.g. `char *x;` → x can only hold char pointers
  - How are types assigned to variables?
    - C/C++, Java: types are explicitly defined
    - `int x;` → explicit assignment of type int to x
- Pros / cons of static typing
  - More programmer effort
    - Programmer must adhere to strict type rules
    - Defining advanced types can be quite complex (e.g. classes)
  - Less program bugs and execution time
    - Thanks to static type checking



# Static vs. Dynamic Typing (cont.)

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- Dynamic Typing: Python, JavaScript, PHP, ...
  - Variables have dynamic types → can hold multiple types

```
var x; /* var declaration without a static type */  
x = 1; /* now x holds an integer value */  
x = "one"; /* now x holds a string value */
```
  - How are types assigned to variables?
    - Type is a runtime property → type tags stored with values
    - Dynamic type checking must be done during runtime
- Pros / cons of dynamic typing
  - Less programmer effort
    - Flexible type rule means program is more malleable
    - Absence of types / classes declarations means shorter code
    - Makes it suitable for scripting or prototyping languages
  - More program bugs and execution time
    - Due to dynamic type checking

# Type System[类型系统]

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- Static / dynamic typing are type systems
  - **Type System:** types + type rules of a language
- Static / dynamic type checking are methods
  - Methods to enforce the rules of the given type system
- Static type checking is not used exclusively for static typing
  - Static type checking also used for dynamic typing
  - If certain types can be inferred and checked at compile time
    - Can reduce dynamic type checks inserted into code
- Dynamic type checking is not used only for dynamic typing
  - Some features of statically typed languages require it
    - e.g. downcasting requires type check of object type tag

# Type Systems: Soundness, Completeness

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- Static type checking through inference
  - Inference: deducing a conclusion[结论] from a set of premises[前提]
  - What are the premises? Type rules in the type system
  - What is the conclusion? Accept / reject after applying rules
- A type system is said to be *Sound*[可靠] if:
  - Only correct programs are accepted
  - Flipside: all incorrect programs are rejected
- A type system is said to be *Complete*[完备] if:
  - All correct programs are accepted
  - Flipside: only incorrect programs are rejected
- A type system strives to be both sound and complete
  - The rules of inference (type rules) should reflect that

# Rules of Inference

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- What are rules of inference?
  - Inference rules have the form
    - if Precondition is true, then Conclusion is true
  - Below concise notation used to express above statement
    - Precondition
    - Conclusion
  - For example: Given  $E3 \rightarrow E1 + E2$ , a rule may be:
    - if  $E1, E2$  are type consistent and int types (Precondition),  
then  $E3$  is type consistent and is an int type (Conclusion)
- Recursive type checking via inference
  - Start from variable and constant types at bottom of tree
    - Serves as initial preconditions for the inference
  - Apply rules on operator nodes while working up the tree
    - Checks type consistency and assigns type to node

# LLVM: Semantic Analysis

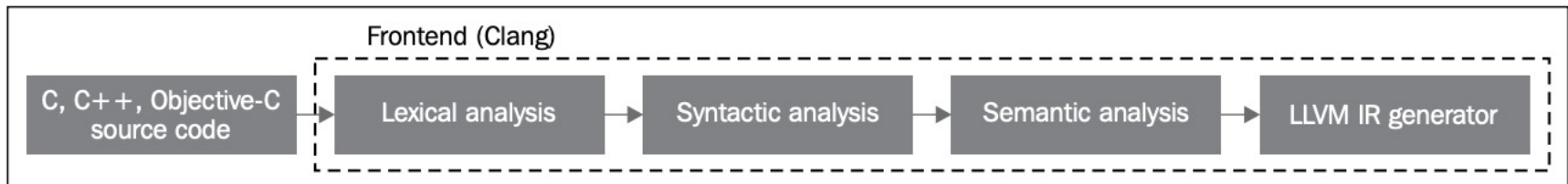
- Clang does not traverse the AST after parsing
  - Instead, it performs type checking on the fly, together with AST node generation

```
1202 StmtResult Parser::ParseIfStatement(SourceLocation *TrailingElseLoc) {
1341     // perform semantic checking for the if statement, emitting diagnostics accordingly
1342     return Actions.ActOnIfStmt(IfLoc, IsConstexpr, InitStmt.get(), Cond,
1343                               ThenStmt.get(), ElseLoc, ElseStmt.get());
1344 }
```

<https://github.com/llvm-mirror/clang/blob/master/lib/Parse/ParseStmt.cpp>

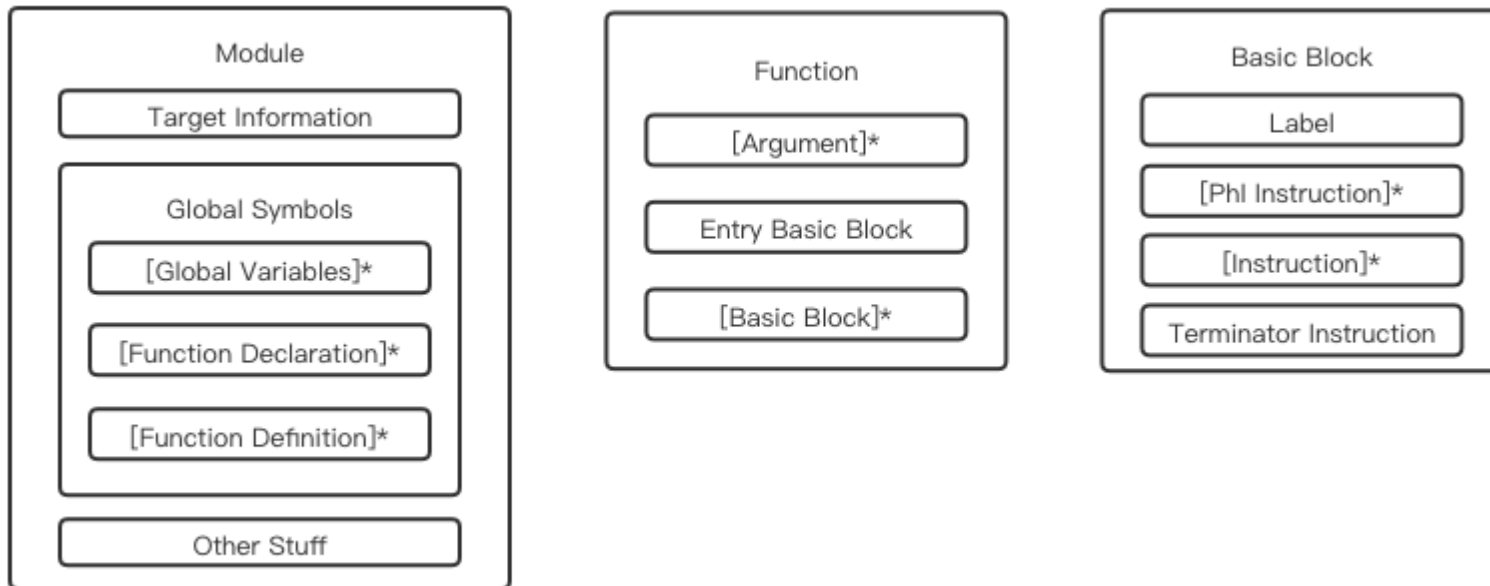
[https://clang.llvm.org/doxygen/ParseAST\\_8cpp\\_source.html](https://clang.llvm.org/doxygen/ParseAST_8cpp_source.html)

- After the combined parsing and semantic analysis, the ParseAST function invokes the method HandleTranslationUnit to trigger any client that is interested in consuming the final AST.



# LLVM: Module

- The Module class represents the top level structure present in LLVM programs
  - An LLVM module is effectively either a translation unit of the original program or a combination of several translation units merged by the linker
  - The Module class keeps track of a list of Functions, a list of GlobalVariables, and a **SymbolTable**



# LLVM: Symbol Table

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- Public members of Module class
  - *SymbolTable \*getSymbolTable()*
    - Return a reference to the SymbolTable for this Module
  - *Function \*getOrInsertFunction(const std::string &Name, const FunctionType \*T)*
    - Look up the specified function in the Module SymbolTable. If it does not exist, add an external declaration for the function and return it.
  - *std::string getTypeName(const Type \*Ty)*
    - If there is at least one entry in the SymbolTable for the specified Type, return it. Otherwise return the empty string
  - *bool addTypeName(const std::string &Name, const Type \*Ty)*
    - Insert an entry in the SymbolTable mapping Name to Ty. If there is already an entry for this name, true is returned and the SymbolTable is not modified.